

Atty. Dkt. No.
32935RC497723

PATENT

1c978 U.S. PTO
09/944102
09/04/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Luis A. Ruiz

U.S. Serial No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: : September 4, 2001 (Herewith)

Examiner: To Be Assigned

For : APPARATUS AND METHOD FOR PERFORMING PRESBYOPIA CORRECTIVE :
SURGERY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicant is enclosing an Information Disclosure Citation Form (PTO-1449) which consolidates the references made of record in the most recent parent U.S. Patent Application No. 09/186,884.


As each of the references cited in the enclosed PTO-1449 form was previously cited in the parent application of the above-identified application, a copy of the cited references is not enclosed in accordance with 37 C.F.R. §1.98(d). Applicant respectfully requests that these documents be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent which may issue from this application.

Attorney Docket No.32935RC497723
Serial No.: Unassigned

Applicants look forward to receipt of an initialized copy of the accompanying PTO-1449
form acknowledging receipt of the references cited therein.

Respectfully submitted,

SMITH, GAMBELL & RUSSELL, LLP

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Date: September 4, 2001

ATTY. DOCKET NO.

SERIAL NO.

32935RC497723

To Be Assigned

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Luis Antonio RUIZ

FILING DATE

Herewith

GROUP

3739

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|--------------------|-------|------------------|-------|----------|-------------------------------|
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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | ATTY. DOCKET NO. 32935RC497723 | SERIAL NO. To Be Assigned |
| | APPLICANT: Luis A. Ruiz | |
| | FILING DATE Herewith | GROUP ART UNIT |

U.S. Patent Documents

| Examiner Initials | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE, IF APPROPRIATE |
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| | AA | 5,207,668 | 5/4/93 | L'Esperance, Jr. | | | |
| | AB | 5,395,356 | 3/7/95 | King et al. | | | |
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| | AD | 5,533,997 | 7/9/96 | Ruiz | | | |
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| | | | | | | | YES | NO |
| | AM | EP-A-346116 | 12/89 | EPO | | | x | |
| | AN | EP-A-402250 | 12/90 | EPO | | | x | |
| | AO | WO 94/01067 | 1/94 | WIPO | | | x | |
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OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AT | <i>Lasers in Surgery and Medicine</i> , Vol. 10, No. 5, 1 January 1990, pages 463-468, XP 000385912, PALLIKARIS: "Laser In-Situ Keratomileusis". |
| | AU | <i>Steinway Instrument Co.</i> , The Steinway/Barraquer In-Situ Microkeratome Set, from the Steinway Instrument Co. of San Diego, California |
| | AV | <i>Surgery for Hyperopia & Presbyopia</i> , by Neal A. Sher, M.D., F.A.C.S., Williams & Wilkins, 1997 (entire book submitted) |

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| EXAMINER | DATE CONSIDERED |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |